

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Finkelstein et al.Application No./Patent No.: 09/818,483 Filed/Issue Date: March 27, 2001Entitled: NEGOTIATED RIGHTS EXCHANGEHELIIX FINANCIAL SYSTEMS, L.P., a Limited Partnership

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Ephraim Brian Finkelstein To: IDT Horizon GT, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 17072, Frame 0412, or for which a copy thereof is attached.

2. From: Ken M. Blackstein To: IDT Horizon GT, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 17072, Frame 0412, or for which a copy thereof is attached.

3. From: Kenneth D. Nakdimen To: IDT Horizon GT, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 17072, Frame 0412, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Stephen Merkel

Printed or Typed Name

May 14, 2007

Date

(212) 829-4829

Telephone Number

Executive Managing Director, General Counsel and Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Application No.: 09/818,483
Customer No.: 63710
Attorney Docket No.: 00-8201
Date Filed: March 27, 2001
Title: NEGOTIATED RIGHTS EXCHANGE
Applicants: Finkelstein et al.
Group Art Unit: 3692

Additional Documents in the Chain of Title
in Support of Applicant's Statement Under 37 CFR 3.73(b)

Applicants submit concurrently herewith a Statement Under 37 CFR 3.73(b). Additional documents in the chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee are continued from Statement Under 37 CFR 3.73(a) as follows:

4. From: IDT Horizon GT, Inc. To: Helix Financial Systems, L.P.
The document was recorded in the United States Patent and Trademark Office at Reel 01919, Frame 0397, or for which a copy thereof is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

May 14, 2007

Date

Stephen Merkel

Printed or Typed Name

(212) 829-74829

Telephone Number Title

Executive Managing Director, General Counsel, Secretary

Title